



Filing ID #10025952

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Nita M. Lowey  
**Status:** Member  
**State/District:** NY17

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 05/15/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Apartment, Washington, DC [RP]  LOCATION: Washington, DC, US	JT	\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Arbiter Partners [HE]  DESCRIPTION: Gift to daughter		None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Arbiter Partners [HE]  DESCRIPTION: EIF. partial redemption 2018.	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Brownstone Investment Opportunities [OL]  LOCATION: New York, NY, US DESCRIPTION: Holding company that holds a specialized broker-dealer.	SP	\$1,000,001 - \$5,000,000	Capital Gains, ordinary income	\$100,001 - \$1,000,000	<input type="checkbox"/>
Chase Bank (checking) [BA]	JT	\$15,001 - \$50,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
Citibank Checking and Savings [BA]	JT	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Federated Kaufmann Mid Cap Fund (Federated Kaufmann IRA) [MF]	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: Funds were rolled over to this fund from the Lowey Dannenberg Bemporad & Sellinger profit sharing plan upon retirement.					
Federated Kaufmann Small Cap Fund (Federated Kaufmann IRA) [MF]	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
Fidelity Institutional Money Market Fund Portfolio Class I (Fidelity IRA) [MF]	SP	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	<input type="checkbox"/>
DESCRIPTION: RMD					
Fidelity Institutional Money Market Portfolio Class I [BA]	JT	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000	<input type="checkbox"/>
Fidelity Municipal Bond portfolio [MF]	JT	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	<input type="checkbox"/>
Fidelity S&P Index portfolio [MF]	JT	\$500,001 - \$1,000,000	None		<input type="checkbox"/>
M.D. Sass/Macquarie Financial Strategies [HE]	SP	\$250,001 - \$500,000	Capital Gains	\$15,001 - \$50,000	<input type="checkbox"/>
DESCRIPTION: EIF					
New Silk Route Fund [HE]	SP	\$250,001 - \$500,000	None		<input type="checkbox"/>
DESCRIPTION: EIF					
New York State and Local Retirement System [PE]		Undetermined	Pension	\$5,001 - \$15,000	<input type="checkbox"/>
P. Oppenheimer Investment Partnership [HE]		\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF. partial redemption in 2018.					
P. Oppenheimer Investment Partnership (Oppenheimer & Close IRA) [IH]		\$100,001 - \$250,000	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
Parkstone Capital III LP PEF (formerly referred to as Parkstone Capital) [HE]	SP	\$250,001 - \$500,000	Capital Gains	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: EIF. Added to investment in response to Capital call.					
Santa Monica Partners [HE]	SP	\$1,000,001 - \$5,000,000	Capital Gains	\$100,001 - \$1,000,000	<input type="checkbox"/>
DESCRIPTION: EIF					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Stable Income Fund (NYS Deferred Compensation Plan) [MF]		\$15,001 - \$50,000	Capital Gains	\$1 - \$200	<input type="checkbox"/>
Vanguard Inst Index Inst Pls Large Cap (NYS Deferred Compensation Plan) [MF]		\$50,001 - \$100,000	Capital Gains, Dividends, Interest	\$5,001 - \$15,000	<input type="checkbox"/>

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

None disclosed.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
Fidelity Institutional Money Market Fund Portfolio Class I (Fidelity IRA)	Spouse Required Minimum Distribution	N/A

SCHEDULE D: LIABILITIES

None disclosed.

SCHEDULE E: POSITIONS

None disclosed.

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
January 1988	New York State and Local Retirement System	Monthly Pension Payments

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute	08/15/2018	08/22/2018	New York - Vancouver, Canada - New York	4	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Aspen Institute	05/25/2018	06/3/2018	New York - Helsinki, Finland - Tallinn, Estonia - New York	3	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
The Aspen Institute	03/2/2018	03/5/2018	New York - Stanford, CA - Washington, DC	0	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Nita M. Lowey , 05/15/2019